



10/517,341
05 JUL 2005

UNITED STATES DEPARTMENT OF COMMERCE
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U.S. APPLICATION NUMBER NO. 10/517,341	FIRST NAMED APPLICANT Kenneth K Li	ATTY. DOCKET NO. 2138-271
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6449
ROTHWELL, FIGG, ERNST & MANBECK, P.C.
1425 K STREET, N.W.
SUITE 800
WASHINGTON, DC 20005

INTERNATIONAL APPLICATION NO. PCT/US03/18947	
IA. FILING DATE 06/18/2003	PRIORITY DATE 06/21/2002

CONFIRMATION NO. 2902
371 FORMALITIES LETTER



OC000000015989723

Date Mailed: 05/12/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/09/2004
- Copy of the International Search Report filed on 12/09/2004
- Preliminary Amendments filed on 12/09/2004
- Information Disclosure Statements filed on 12/09/2004
- U.S. Basic National Fees filed on 12/09/2004
- Priority Documents filed on 12/09/2004

RECEIVED/FACILITIES
ROTHWELL FIGG ERNST & MANBECK
FILE NO. 2138-271
FAC PROC. INIT.



MAY 16 2005

DKT ENTRY NO: _____
SEND TO: GRR
OK TO FILE: _____

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

ROTHWELL FIGG ERNST & MANBECK LLP
NEW YORK DOCKETING
Docketed ☐ May 16 2005 ☐
P.O. Box 1450 ☐
Alexandria, VA 22313-1450
Tel: 703/295-1450
Fax: 703/295-1451
Email: info@rfe.com

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

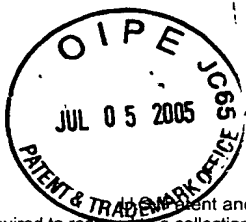
KAREN M WILLIAMS

Telephone: (703) 308-9140 EXT 213

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/517,341	PCT/US03/18947	2138-271

FORM PCT/DO/EO/905 (371 Formalities Notice)



101517341
JC04 Rec'd PCT/PTO 05 JUL 2005
Rec'd PCT/PTO 05 JUL 2005
P. 0/58/92 (09/04)
Approved for use through 07/31/2006. OMB 0661-0631
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Application No. (if known): 10/517,341

Attorney Docket No.: NY-WAVIEN 221-US

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

MS Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on June 30, 2005
Date

Fani Malikouzakis
Signature

Fani Malikouzakis

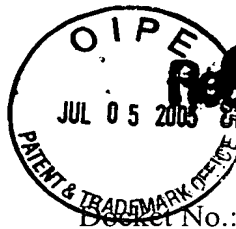
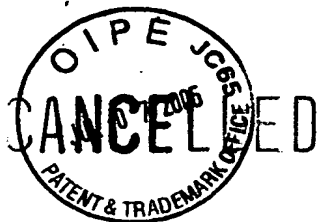
Typed or printed name of person signing Certificate

@@@
Registration Number, if applicable

(212) 318-3220
Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

- Response to Notice to File Missing Parts of Application (2 pages)
- Fee Transmittal (1 page)
- Executed Combined Declaration and Power of Attorney
- Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address
- Part 2 Copy of Notice
- Check in the amount of \$65.00



REC'D PCT/PTO

10/517,341
05 JUL 2005

Docket No.: WAVIEN 221-US (10506144)
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Kenneth K. Li

Application No.: 10/517,341

Filed: June 18, 2003

For: MULTIPLE LAMP ILLUMINATION SYSTEM

Confirmation No.: 2902

Art Unit: N/A

Examiner: Not Yet Assigned

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

MS Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted mailed May 12, 2005, Applicant respectfully submits a Combined Declaration and Power of Attorney and Part 2 Copy of Notice.

Our check in the amount of \$65.00 covering the fee set forth in 37 CFR 1.16(f) is enclosed. The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0624, under Order No. WAVIEN 221-US (10506144).

07/11/2005 MKAYPAGH 00000113 10517341

01 FC:2617

65.00 DP

Respectfully submitted,

By 

Norman D. Hanson

Registration No.: 30,946

FULBRIGHT & JAWORSKI L.L.P.

666 Fifth Avenue

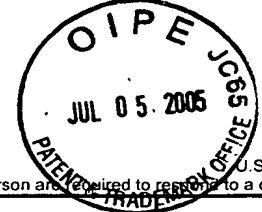
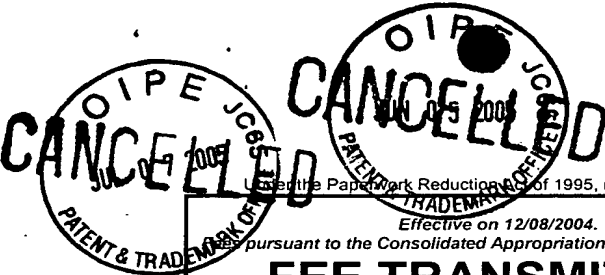
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(212) 318-3000

(212) 318-3400 (Fax)

Attorney for Applicant

P CT&



PTO/SB/17 (12-04v2)
Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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FEE TRANSMITTAL For FY 2005		Complete if Known		
		Application Number	10/517,341	
		Filing Date	June 18, 2003	
		First Named Inventor	Kenneth K. Li	
		Examiner Name	Not Yet Assigned	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Art Unit	N/A	
TOTAL AMOUNT OF PAYMENT (\$)		65.00	Attorney Docket No.	NY-WAVIEN 221-US

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☐ Deposit Account Deposit Account Number: 50-0624 Deposit Account Name: Fulbright & Jaworski L.L.P.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)**

_____ - = _____ x _____ = _____

Multiple Dependent Claims

Fee (\$) **Fee Paid (\$)**

_____ _____

Indep. Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)**

_____ - = _____ x _____ = _____

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
_____	_____	_____ /50 (round up to a whole number) x _____	_____	_____

4. OTHER FEE(S)

	Fees Paid (\$)
Non-English Specification, \$130 fee (no small entity discount)	
Other (e.g., late filing surcharge): <u>2051 Surcharge-Late oath or declaration</u>	65.00

SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	30,946	Telephone	(212) 318-3168
Name (Print/Type)	Norman D. Hanson	Date	June 29, 2005		